

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL LETTER

Attorney Docket No.: TT4397

Mailing Date: \_\_\_\_\_

To: Assistant Commissioner for Patents  
Box Patent Application  
Washington D.C., 20231

Dear Sir:

Transmitted herewith for filing under 37 C.F.R. 1.53(b) is a:

- ☒ New Nonprovisional Utility Patent Application; or
- ☐ Continuation; or ☐ Divisional; or ☐ Continuation-In-Part (CIP);  
of prior US Application No. \_\_\_\_\_, filed on \_\_\_\_\_, having U.S. Examiner  
\_\_\_\_\_, in Group Art Unit \_\_\_\_\_

Of: Raymond E. Klingemann and Michael McCarthy

For: APPARATUS FOR READING MARKS ON A SEMICONDUCTOR SUBSTRATE

- ☒ 2 sheets of drawings and 12 pages of specification and claims and one page Abstract.
- ☒ Newly executed oath or declaration combined with Power of Attorney on 2 pages.
- ☒ An Assignment Transmittal Letter and Assignment of the invention to ADVANCED MICRO DEVICES, INC.
- ☐ An Information Disclosure Statement (IDS), with PTO-1449, and \_\_\_\_\_ citation copies.
- ☒ Return Receipt Postcard (two)
- ☐ Preliminary Amendment.
- ☐ Incorporation by Reference (for Continuation/Division/CIP application). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. Since the present application is based on a prior US application, please amend the specification by adding the following sentence before the first sentence of the specification:

"The present application is based on prior US application No. \_\_\_\_\_, filed on \_\_\_\_\_, which is hereby incorporated by reference, and priority thereto for common subject matter is hereby claimed."

- ☐ Applicant hereby petitions pursuant to 37 C.F.R. §1.136(a) for a \_\_\_\_\_ month extension of time for response to the outstanding Official Action mailed \_\_\_\_\_. The period for response was previously set to elapse \_\_\_\_\_, and is accordingly hereby extended to \_\_\_\_\_, which is still within the six-month statutory period for response (35 U.S.C. § 133) which elapses \_\_\_\_\_. The reason for this petition is that a Division, Continuation, or CIP is being filed, and it is desired to maintain the present application in pending condition pursuant to 35 USC § 120 through at least the filing of the Division, Continuation, or CIP application. The required Extension Fee established by 37 C.F.R. § 1.17(a) pursuant to 35 U.S.C. § 41(a) (8) is:

EXTENSION	FEE
<input type="checkbox"/> First Month	\$110.00
<input type="checkbox"/> Second Month	\$390.00
<input type="checkbox"/> Third Month	\$890.00
<input type="checkbox"/> Fourth Month	\$1,390.00
<input type="checkbox"/> Fifth Month	\$1,890.00

- ☒ The filing fee is calculated as follows:

## CLAIMS AS FILED, LESS ANY CANCELED BY AMENDMENT

FOR	NUMBER OF CLAIMS	NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	18 - 20 =	0	x \$18	= \$ 0.00
INDEPENDENT CLAIMS	3 - 3 =	0	x \$80	= \$ 0.00
MULTIPLE DEPENDENT CLAIMS			\$270	= \$ 0.00
BASIC FEE				= \$ 710.00
ASSIGNMENT RECORDATION				= \$ 40.00
TOTAL FILING FEE				= \$ 750.00

- ☒ Enclosed are two checks in the amount of \$710.00 and \$40.00 for the Total Filing Fee and Assignment Fee.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required now or in the future during the entire pendency of this application under 37 C.F.R. 1.16 or 37 C.F.R. 1.17, including any present or future time extension fees which may be required, or credit any overpayment to Deposit Account No. 07-0135.
- ☒ This sheet is submitted in duplicate.

This transmittal letter has 2 total pages.

DATE

18 July 2001

John D. Titus

39,047  
REG. NO.

ADVANCED MICRO DEVICES, INC.

Attorney of Record

Telephone No.: (602) 530-8026

Facsimile No.: (602) 530-8500

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Klingemann, et al

Title

APPARATUS FOR READING MARKS ON A  
SEMICONDUCTOR SUBSTRATE

Atty Docket Number

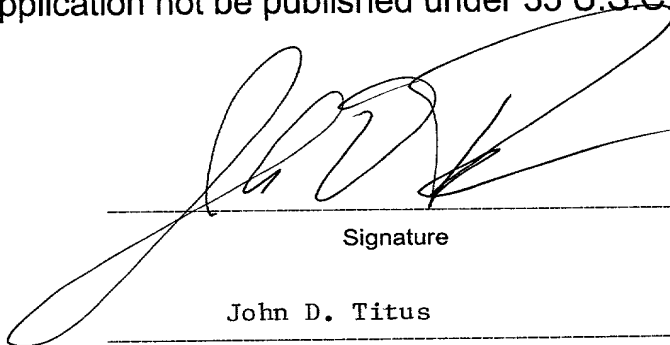
TT4397

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

19 July 2001

Date



Signature

John D. Titus

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

106T40" 66260668

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

KLINGEMANN, et al.

Serial No.: Not yet assigned

Filed: Herewith

Title: APPARATUS FOR READING MARKS ON A SEMICONDUCTOR SUBSTRATE

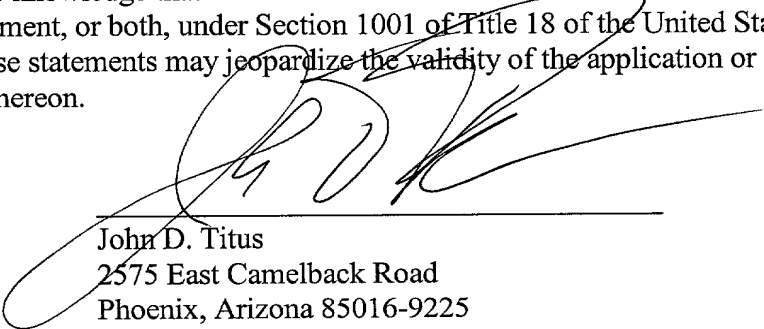
Commissioner for Patents and Trademarks  
Washington, D.C. 20231**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10**Date of Deposit: 19 July 2001 Express Mail Label No.: EL709979615US

I hereby certify that the following attached item(s):

1. Transmittal letter (in duplicate);
2. Application for new nonprovisional utility patent application consisting of 5 sheets of drawings and 10 pages of specification and claims and a one page Abstract;
3. Executed Combined Declaration and Power of Attorney;
4. Executed Assignment with recordation cover sheet;
5. Non-publication Request;
6. Check in the amount of \$710.00 for application filing fee;
7. Check in the amount of \$40.00 for assignment recordation fee; and
8. Postcard, postage prepaid, self-addressed, to confirm receipt

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any trademark registration issued thereon.



John D. Titus  
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